THE FINITE QUASI-EQUATIONAL BASE PROBLEM

J. Ježek, M. Maróti and R. McKenzie

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UNIVERSAL ALGEBRA AND LATTICE THEORY Dedicated to the 70th birthday of Béla Csákány July 22–26, 2002, Szeged, Hungary All algebras are of a finite (fixed) type.

Definition. A nontrivial class \mathcal{Q} of algebras is a *quasi*variety if it is closed under I (taking isomorphic algebras), S (subalgebras), P (products) and P_U (ultraproducts).

Definition. The quasi-variety $Q(\mathbf{A})$ generated by an algebra \mathbf{A} is the smallest quasi-variety containing \mathbf{A} .

Definition. A *quasi-identity* is a formula of the form $(p_1 \approx q_1 \& \ldots \& p_n \approx q_n) \rightarrow p \approx q.$

Theorem. A class of algebras \mathcal{Q} is a quasi-variety if and only if \mathcal{Q} can be axiomatized by quasi-identities.

Definition. An algebra \mathbf{A} is *finitely q-based* if $\mathcal{Q}(\mathbf{A})$ can be finitely axiomatized (by quasi-identities). \mathbf{A} is *inherently nonfinitely q-based* if there is no finitely axiomatizable locally finite quasi-variety containing \mathbf{A} .

Problem (The finite quasi-equational base problem). Is it decidable for a finite algebra if it is finitely q-based?

Definition. An algebra **A** is a *semilattice algebra* if its signature contains (among other symbols) a binary symbol \land (*the meet*) such that

(1) $\langle A; \wedge \rangle$ is a semilattice.

 \mathbf{A} is said to be *compatible* if it satisfies the equations

(2) $f(z_1, \ldots, z_{i-1}, x \land y, z_{i+1}, \ldots, z_n) \approx$ $f(\ldots, x, \ldots) \land f(\ldots, y, \ldots)$ for every *n*-ary operation *f* of σ and every $i \in \{1, \ldots, n\}$.

Example. The following are compatible semilattice algebras:

- digraph algebras
- semilattices with a finite set of endomorphisms
- flat algebras over any quasigroup

Definition. For a variable x, basic x-terms of depth n are defined as follows. The term x is the only basic x-term of depth 0. For n > 0, basic x-terms of depth n are the terms $f(z_1, \ldots, z_{i-1}, t, z_{i+1}, \ldots, z_n)$ such that f is an n-ary basic operation, $1 \le i \le n, t$ is a basic x-term of depth n - 1 and z_1, \ldots, z_n are variables different from x.

A basic polynomial of **A** is a unary polynomial $p(x) = t(x; a_1, a_2, ...)$ where $t(x; z_1, z_2, ...)$ is a basic x-term and $a_1, a_2, ... \in A$.

Fact. A semilattice algebra **A** is compatible if and only if $p(a \land b) = p(a) \land p(b)$ for all basic polynomials p of **A** and all elements $a, b \in A$.

Fact. Let \mathbf{A} be a compatible semilattice algebra and F be a filter of \mathbf{A} . Then for every basic polynomial p of \mathbf{A} , $p^{-1}(F)$ is either empty or a filter of \mathbf{A} .

Lemma. Let \mathbf{A} be a compatible semilattice algebra and F be a filter of \mathbf{A} . Put

$$\mathcal{C}_F = \{ p^{-1}(F) : p \text{ is a basic polynomial of } \mathbf{A} \}$$
$$\vartheta_F = \bigcap \{ H^2 \cup (A \setminus H)^2 : H \in \mathcal{C}_F \}.$$

Then ϑ_F is a congruence of **A**.

Lemma. Let \mathbf{A} be a compatible semilattice algebra, F be a principal filter generated by a join irreducible element $d \in A$, and $c \in A$ be the unique lower cover of d. Then ϑ_F is the largest congruence that does not collapse c and d; ϑ_F and $\operatorname{Cg}_{\mathbf{A}}(c, d)$ form a splitting pair of congruences in $\operatorname{Con} \mathbf{A}$. We fix a finite compatible semilattice algebra **A**. Put K = |A|.

Definition. Denote by \mathcal{Q}_1 the quasi-variety determined by the equations (1) and (2) and for every $\binom{K+1}{2}$ -tuple of basic *x*-terms $t_{1,2}, t_{1,3}, \ldots, t_{K,K+1}$ of depth $\leq K + 1$, and every $\binom{K+1}{2}$ -tuple $\varepsilon_{1,2}, \varepsilon_{1,3}, \ldots, \varepsilon_{K,K+1} \in \{0,1\}$ the following quasi-equation $\gamma_{\bar{t},\bar{\varepsilon}}$

(3) $(x \le y \& D_{1,2} \& D_{1,3} \& \dots \& D_{K,K+1}) \to x \approx y$ where

$$D_{i,j} = \begin{cases} t_{i,j}(u_i) \ge y \& t_{i,j}(u_j) \land y \le x & \text{if } \varepsilon_{i,j} = 0, \\ t_{i,j}(u_j) \ge y \& t_{i,j}(u_i) \land y \le x & \text{if } \varepsilon_{i,j} = 1. \end{cases}$$

Lemma. The quasi-variety Q_1 is finitely axiomatized and contains **A**. **Lemma.** Let $\mathbf{B} \in \mathcal{Q}_1$, $a, b \in B$ two elements such that $b \not\leq a$, and let F be a maximal filter of \mathbf{B} such that $b \in F$ and $a \notin F$. Then $\mathcal{C}_F = \{p_1^{-1}(F), \dots, p_r^{-1}(F)\}$ for some $r \leq K$ and basic polynomials p_1, \dots, p_r of \mathbf{B} of depth $\leq K$. Moreover, $|B/\vartheta_F| \leq K$. **Corollary.** Q_1 is locally finite; every algebra of Q_1 is a subdirect product of algebras of size $\leq K$. Consequently, **A** is not inherently nonfinitely q-based. **Definition.** Denote by \mathcal{Q}_2 the quasi-variety determined by the quasi-equations (1) - (3) and all quasi-equations in at most K variables that are satisfied in \mathbf{A} .

Theorem. Let $\mathbf{B} \in \mathcal{Q}_2$, $a, b \in B$ two elements such that $b \not\leq a$, and let F be a maximal filter of \mathbf{B} such that $b \in F$ and $a \notin F$. Then $\mathbf{B}/\vartheta_F \cong \mathbf{C}/\vartheta_H$ where \mathbf{C} is a subalgebra of \mathbf{A} and H is a principal filter generated by a join irreducible element of \mathbf{C} . **Corollary.** Let \mathbf{A} be a finite compatible semilattice algebra such that $HS(\mathbf{A}) \subseteq \mathcal{Q}(\mathbf{A})$. Then \mathbf{A} is finitely *q*-based.

Corollary. Every finite digraph algebra is finitely q-based.

Corollary. The flat algebra over any finite quasigroup (considered as a groupoid) is finitely q-based.

Corollary. Let $\mathbf{A} = \langle A; \wedge, 0, f \rangle$ be a finite flat compatible semilattice algebra with a unary operation f. Then \mathbf{A} is finitely q-based. **Theorem.** Let σ be a finite signature containing, in addition to \wedge and 0, at least two unary symbols f and g (and, possibly, some other operation symbols). Then every finite compatible flat σ -algebra can be embedded into two finite compatible flat σ -algebras, one finitely q-based and the other one not finitely q-based. **Problem.** Is it decidable for a finite compatible semilattice algebra if it is finitely q-based?

Problem. Find the analog of the residual character of $\mathcal{V}(\mathbf{A})$ for the quasi-variety $\mathcal{Q}(\mathbf{A})$ which (under some restrictions) would imply that \mathbf{A} is or is not finitely q-based.

Conjecture. Let **A** be a finite compatible semilattice algebra, and N be a positive integer. Then there exists a finite set Γ of quasi-equations such that for all semilattice algebras **B** of depth $\leq N$, $\mathbf{B} \in \mathcal{Q}(\mathbf{A})$ if and only if $\mathbf{B} \models \Gamma$.